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(54) Title: LOW ENVIRONMENTAL TOXICITY LAT	EX CO	ATINGS

### (57) Abstract

Combinations of nonvolatile reactive amines, and hydroxyl bearing, unsaturated esters and/or ethers and/or ethers and/or ether-esters (and/or combinations of non-hydroxyl bearing, unsaturated esters and/or ether-esters, and saturated hydroxyl bearing etherified and/or esterified oligomeric glycols and/or oligools), as replacements for conventionally employed volatile amines and/or ammonia as neutralizers, and organic solvents as coalescents respectively, has been found to enable the production of economical, low to no VOC acrylic and vinyl copolymer latex based coatings, paints, and inks. Further enhancement may be had by substitution of hypersurfactants, in place of conventional soaps and/or dispersants and/or detergents, in combination with the aforementioned nonvolatile reactive amines, particularly as particulate dispersants in pigmented and/or reinforced coatings.

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## LOW ENVIRONMENTAL TOXICITY LATEX COATINGS

# Background of The Invention:

- The use of ammonia and/or volatile amines as neutralizing
- 3 agents and/or stabilizers, and of alcohols, glycols, and glycol
- 4 monoethers and monoesters, often in combination, at levels to 40%
- 5 by volume (exclusive of water) has been employed for more than
- 6 fifty years to achieve the coalescence of latex solids in acrylic,
- 7 polyvinyl acetate and related copolymer resins based coatings.
- 8 The volatilization of these conventional neutralizers, and
- 9 coalescing components, after achieving film coalescence is
- 10 normally required in order to inhibit the resultant film's
- 11 breakdown (reversion) in the presence of humid environments, and
- 12 to provide acceptable wear and stain resistance to the dried film.
- Recent concerns regarding the environmental degradation
- 14 (predominantly low level ozone formation), and the health and fire
- 15 hazards associated with exposure to ammonia, volatile amines and
- 16 volatile organics (VOCs), has led to increasingly strict
- 17 regulatory limitations on the nature, and proportions, of VOCs
- 18 which may be employed in coatings. One technique that has been
- 19 employed in order to comply with said limitations in latex coating
- 20 applications is the development of self coalescing latex resins
- 21 which require no coalescents. However, to date, such materials
- 22 have had the disadvantage of being limited to low Tg film formers
- 23 with poor performance properties.

### 24 Subject of The Invention:

- This invention teaches the use of low levels of combinations
- 26 of nonvolatile reactive amines, in combination with hydroxyl

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- 1 bearing unsaturated esters and/or ethers and/or ether-esters,
- 2 and/or non-hydroxyl bearing unsaturated esters and/or ethers
- 3 and/or ether-esters and incompletely etherified and/or esterified
- 4 oligomeric glycols and/or oligools as partial or full replacements
- 5 for conventionally employed volatile amines and/or ammonia as
- 6 neutralizers, and organic solvents as coalescents, respectively,
- 7 in latex resin applications. This invention has the advantage of
- 8 reducing emissions and enhancing the performance of films produced
- 9 from conventional latex resins, and when employed in conjunction
- 10 with certain types of hypersurfactants (cf. Table 5) also
- 11 upgrades pigment and/or extender dispersion, and reduces grind
- 12 times in particulate containing variants; thus enhancing plant and
- 13 energy use efficiencies. Synergistic performance enhancement, and
- 14 VOC reduction in latex resins may be attained via the employment
- 15 of the aforementioned technologies in combination. Partial
- 1.6 replacement of either or of both of the aforementioned components
- 17 by the alternatives of this invention is shown to provide lesser,
- but still desirable benefits.

#### Preferred Embodiment of The Invention 19

- The non-volatile reactive amines useful in the practice of 20
- 21 this invention have vapor pressures below 0.1 mm Hg at 25°C,
- 22 contain at least one basic nitrogen, and at least one carbon to
- 23 carbon double bond, and/or a transition metal ligand, and contain
- 24 no more than twelve carbon atoms per basic nitrogen atom.
- 25 more preferable contain one or more (meth)acryl and/or N-vinyl
- 26 ligands, and those most preferable have a water solubility

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1 exceeding 2% at 25°C. Specific examples of such useful non-
2 volatile reactive amines are given in Table (1). These examples
```

- 3 are intended to be illustrative rather than exhaustive of the
- 4 scope of useful materials.

5

6 TABLE I

- 7 (1A) N-vinyl pyrrolidone
- 8 (1B) N,N,N'-tris (2-butenyl), ethylene diamine
- 9 (1C) N', methyl-1, 3-propylene diamine mono 2- propenamide
- 10 (1D) N, 2-propenyl, bis (2-hydroxy) propyl amine
- 11 (1E) N, 2-propenyl, N'- (2-hydroxy) ethyl, hexamethylene
- 12 triamine
- 13 (1F) 4-(N, 3-hydroxypropyl, N-vinyl) 2-amino ethyl 2-butenoate
- 14 (1G) 2-[N,-(2-oxa-cyclopentadienyl)] amino acetic acid ethyl
- 15 ester
- 16 (1H) 4-(N,N bis vinyl) 1,3-pentanediol
- 17 (11) tetraethylene glycol mono 3-( N, ethyl) amino, 2-(methyl)
- 18 2-propenoate
- 19 (1J) N,N-divinyl glutamic acid 2-propenyl ester
- 20 (1K) 6-(N,N bis vinyl) hexanoic acid ethyl ester
- 21 (1L) Titanium IV tetrakis N, 2-aminoethyl ethanolato
- The preferred types of the hydroxyl bearing, unsaturated
- esters and/or ethers and/or ether-esters useful in the practice
- of this invention are those having vapor pressures below 0.1 mm
- 25 Hg at 25°C, which are capable of air initiated, oxidative
- 26 oligomerization and/or polymerization derived non-reversible

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- bonding, under normal latex application conditions, to film 1
- component(s) and/or to substrate, in order to maximize coating 2
- properties via crosslinking the resulting latex thereby
- minimizing its (post film formation) environmental sensitivity. 4
- Examples of such unsaturated esters and ether and ether-esters as 5
- are useful in the practice of the instant invention are provided 6
- in Table II. These examples are intended to be illustrative 7
- rather than exhaustive of the scope of useful materials. 8

#### Table II 9

- (2A) trimethylol propane bis (2-methyl)-2-propenoate ester 10
- (2B) sorbitan tetrakis 2-butenoate ester 11
- (2C) bis pentaerethyritol 2-propenolato, tris 2-propenoate ester 12
- (2D) hexanoic acid 6-hydroxy, (2-propenoato)ethyl ester 13
- (2E) citric acid mono isodecenyl ester 14
- (2F) malic acid bis cinnamyl ester 15
- (2G) 3-heptanoyl furfuryl alcohol 16
- (2H) 1,2,3-propanetriol 1-vinyl ether, 2-phenyl carboxylate ester 17
- (2J) trimeric 2-butenediol mono (methyl) glutarate ester 18
- (2K) ethoxylated (4) bis phenol A mono 2-propenoate ester 19
- The preferred types of the non-hydroxyl bearing unsaturated 20
- esters and/or ethers and/or ether-esters useful in conjunction with 21
- the practice of this invention are those having vapor pressures 22
- below 0.1 mm Hg at 25°C, which are capable of air initiated 23
- oxidative oligomerization and/or polymerization, and non-reversible 24
- bonding, under normal latex application conditions, to film 25
- component(s) and/or to substrate, in order to maximize coating 26

- 1 properties via crosslinking the resulting latex thereby minimizing
- 2 its (post film formation) environmental sensitivity. Examples of
- 3 such non- hydroxyl bearing unsaturated esters and ether and ether-
- 4 esters as are useful in the practice of the instant invention are
- 5 provided in Table III. These examples are intended to be
- 6 illustrative rather than exhaustive of the scope of useful
- 7 materials.

### 8 <u>Table III</u>

- 9 (3A) trimethylol propane bis (2-methyl)-2-propenoate ester, mono
- vinyl ether
- 11 (3B) 1,2,3-propane triol tris 2-butenoate ester
- 12 (3C) penta erethyritol 2-propenolato, tris 2-propenoate ester
- 13 (3D) hexanoic acid 6-acetoxy, (2-propenoato)ethyl ester
- 14 (3E) fumaric acid bis isodecyl ester
- 15 (3F) maleic acid bis cinnamyl ester
- 16 (3G) furoic acid vinyl ester
- 17 (3H) 1,2,3-hexanetriol 1,2-bis vinyl ether, phenyl carboxylate
- 18 ester
- 19 (3J) trimeric 2-butene-1,4-diol bis propionate ester
- 20 (3K) ethoxylated (4) bisphenol A bis 2-(methyl)-2-propenoate ester
- The preferred types of the incompletely etherified and/or
- 22 esterified oligomeric glycols and/or oligools useful in the
- 23 practice of this invention are those having vapor pressures below
- 24 0.1 mm Hg at 25°C, which are oligomers of two to 4 carbon diols,
- 25 and of three to six carbon triols, wherein each of the ether and/or
- 26 ester ligands contains five or fewer carbon atoms per oxygen.

- Examples of such incompletely etherified and/or esterified 1
- oligomeric glycols and/or oligools as are useful in the practice of 2
- the instant invention are provided in Table IV. These examples are 3
- intended to be illustrative rather than exhaustive of the scope of 4
- useful materials. 5

#### Table IV 6

- (4A) penta (ethylene glycol) mono methyl ether 7
- (4B) tetra (1,4-butylene glycol) mono (2-methyl) butyrate 8
- (4C) 1-hydroxy-2,5-bis methyl-3,6,9,12-tetraoxa tetradecane 9
- (4D) 4-oxaheptane-1,2,6,7-tetraol mono acetate, mono 2-propyl 10
- ether (mixed isomers) 11
- (4E) ethoxylated (6) 1,2,4-butanetriol bispropanoate (mixed 12
- isomers) 13
- (4F) tris 1,2,5-n pentane triol tetraethyl ether (mixed isomers) 14
- (4G) tris (1,3-propane-diol) mono isopentyl ether 15
- (4H) 1,2- bis (2- hydroxy ethoxy ethyl) 1,2,3-propane triol 16
- (4J) polyethylene glycol (300) mono amyl ether 17
- (4K) tris neopentyl glycol mono n-propyl ether 18
- The surfactants most useful in the practice of this invention 19
- are those having vapor pressures below 0.1 mm Hg at 25°C, which are 20
- capable of non-reversible bonding, under normal processing 21
- conditions, to film component(s) and/or substrate in order to 22
- maximize coating properties, while minimizing post film formation 23
- environmental sensitivity, and which serve to efficiently wet 24
- substrates coated, and to disperse particulates, if any, employed 25
- in the formulated latex coating. Among the surfactants found to be 26

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- useful in the practice of this invention are amphoteric detergents, 1
- and certain organometalics based on tetravalent titanium or 2
- zirconium. These last have been found to contribute significantly 3
- to substrate adhesion and improved corrosion resistance on wood,
- metalic and ceramic substrates, and to be particularly useful in 5
- maximizing color intensities of carbon black, azo and 6
- phthalocyanine based pigments. Specific examples of the preferred
- types of hypersurfactants are given in Table V. These examples are
- intended to be illustrative rather than exhaustive of the scope of 9
- 10 useful materials.

#### Table V 11

- (5A) 12-N, N, N-trimethylaminododecanoato 12
- (5B) N-(pentakis oxyethylene sulfato) triethylene diamine 13
- (5C) p-[6-N(methyl) morpholino]octyl phenyl phosphonic acid 14
- (5D) N, N, N-triethyl glutamic acid 15
- (5E) titanium 4 octyl, [(tris octyl) diphosphato 16
- (5F) titanium 4 oxoethylene, bis (dodecyl) phenylsulfonato 17
- (5G) oxy [bis titanium 4 (bis tridecyl) diphosphate] 18
- (5H) zirconium 4 tetraethylene glycol monomethyl ether, tris 19
- (tetraethylene glycol monomethyl ether) diphosphato 20
- (5J) zirconium 4, bis w-N, N-(dimethyl)amino octanoato, 1,4-21
- cyclohexanediolato 22
- (5K) triethylene glycol diolato, bis [zirconium 4 tris (octyl) 23
- phosphate] 24
- Those skilled in the art shall no doubt be capable of 25
- subverting the teachings of this invention via the substitution of 26

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- functionally equivalent materials, e.g. employment in combination 1
- of hydroxylated and non-hydroxyl bearing esters (ether-esters) 2
- optionally in combination with partially esterified and/or
- etherified glycols, and or polyols, in place of either component 4
- (set) alone, (as is amply demonstrated in example No. 4.), or of 5
- unsaturated analogous unsaturated amides, for a portion of the 6
- aforementioned unsaturated ethers, esters or ether-esters disclosed 7
- above, and/or fluorination of one or more of the species of 8
- components heretofore described as necessary to the successful 9
- practice of this invention; however such non-critical 10
- modifications, and/or combinations of relevant species types, must 11
- be considered as within the scope of this disclosure. 12
- Further amplification of the scope and utility of the instant 13
- invention to latex coating applications in inks, paints and stains 14
- shall be found to be illustrated by the content(s) of examples 1 15
- through 5. Said examples are intended to be illustrative rather 16
- than exhaustive of the extraordinarily diverse applicability of the 17
- 18 instant invention.

### Example #1

19

- This example teaches the superiority of the present invention 20
- versus the prior art with respect to the productivity, VOC 21
- emissions, and performance quality in a masonry sealer application. 22
- A masonry sealer formulation was prepared by the sequential 23
- dispersion of the indicated components (pigment dispersion times 24
- and grind quality achievement was noted). The resulting sealer was 25
- applied via roller to smooth surface, ten day old, 8" X 18" X 1" 26

- 1 thick concrete castings, at an application rate of one gallon per
- 2 400 square foot, dry time (to touch) was measured under conditions
- 3 of 72° F and 85% humidity. After 240 hours of drying @ 72° F and
- 4 85% humidity, sealer performance was measured by weighing the dry
- 5 casting, then impounding a 6" depth of water, or alternatively 6%
- 6 salt solution, on such a casting for twenty four hours, then
- 7 draining and weighing the drained casting. The weight percent of
- 8 water, and independently that of 6% salt solution, adsorbed by said
- 9 castings were used to determine sealer efficacy. The results of
- 10 this study are given in Table No. 1.
- 11 Formulation: in parts by weight; (in order of addition) water
- 12 200.0; neutralizer<sup>1</sup>, as shown; surfactant<sup>a</sup>, as shown, biocides<sup>2</sup>,
- 13 18.50; hydroxy ethyl cellulose, 5.00; potassium tris polyphosphate,
- 2.00; defoamer<sup>2</sup>, 1.00; coalescent<sup>a</sup>, as shown; ultramarine blue
- 15 pigment, 0.25; rutile titanium dioxide, 200.0, American process
- 16 zinc oxide, 25; platey talc, 250; water, 49.98; AC-625 Acrylic
- 17 latex resin³, 352.0; defoamer², 0.98; surfactantb, coalescentb, as
- 18 shown,; water, 24.99; and sodium nitrite 2.30; thixotrope<sup>4</sup>, as
- shown (required) to adjust system viscosity to 85-90 KU at 75° F.

20		<u>Ta</u>	ble No. 1			
21	Formulation	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>
22 23 24 25	neutralizer surfactant(a) coalescent(a)	amp-95/1.98 Tamol 850 <sup>7</sup> /14.85 Propylene glycol /34.56	1B/2.00 5A/1.80 none	1H/1.80 5E/1.75 none	1G/2.20 5K/1.55 4J/4.50	1D/1.78 5H/1.50 none
26 27 28	<pre>surfactant(b) coalescent(b)</pre>	Triton N1013/2.20 Texanol8/9.88	none 2A/16.42	5B/1.00 3A/12.60	none 3F/18.55	5D/1.25 2H/11.70

40

41

1	Formulation	<u>6</u>	7	<u>8</u>	<u>9</u>	10
2 3 4	neutralizer	ammonia/1.00 1L/1.00	1B/1.00 ammonia/1.00	1H/1.80 AMP-95/1.00	1G/1.20	1D/1.78
5 6 7	surfactant (a) surfactant (b)	Tamol 850 <sup>7</sup> /14.85 Triton N101 <sup>6</sup> /2.2	5A/1.80	5E/0.75 Triton N101 /2.20	5K/1.55	5H/0.75 5D/1.25
8 9	coalescent (a)	Propylene glycol /34.56	. none	none	4J/4.50	Propylene glyco /17.88
10 11	coalescent (b)	Texanol <sup>6</sup> 19.98	2A/16.42	3A/12.60	3F/18.55	2н/5.85
12 13 14 15	<u>Formulation</u>	VOC g/15	Dispersion time hr.			lt water orption g.
16 17	1 2	124 8	3.4 0.7	4 46 6 27		61 32
18	3	3	0.6	6 21		28
19	4	5	0.7	5 24		27
20	5	4	0.6	6 25		30
21 22	6 7	112 10	3.1 0.7	4 37 6 32		48 42
23	8	3	2.4	5 27		38
24	9	6	0.7	5 24		27
25	10	36	1.8	6 31	-	39
26						
27 28	Notes: a) As	shown; 1) A co	ombination	of 3.5 parts	of Nuosep	t 95,
29	and 15 parts	of Nuocide 40	04D, Huls C	orp. were em	ployed; 2)	Defo
30	806-102; Ultr	a Inc. 3) AC-6	625, Union	Carbide Corp	.; 4) Rhev	is CR,
31	Rhevis Corp.5	) via EPA Meth	nod 24GC; 6	) Rohm and H	aas Corp.;	7)
32	Eastman Kodak	Inc.				
33	The effi	cacy of the co	alescent sy	stems of the	instant ar	t in
34	producing a less water and salt permeable, acrylic latex based					
35	masonry seal coating, is compared to a conventionally coalesced					
36	counterpart, Formulation No. 1, and is obvious from the preceding					
37	data. VOC emi	ssions reduction	on and impro	ovement in bo	th product:	ivity
38	and dispersion	n level achieve	ed are like	wise self evi	dent.	
39	Example #2					

This example teaches the superiority of the present invention

versus the prior art with respect to the productivity, VOC

- emissions, and performance quality in a direct to metal, 1
- 2 maintenance coating application.
- 3 Direct to metal coatings were prepared by the sequential
- dispersion of the indicated components (pigment dispersion times 4
- were noted). The resulting coating was spray applied to sandblasted 5
- smooth surface 24" X 8" carbon steel test panels at application 6
- rate of one gallon per 250 square feet. After 120 hours of drying 7
- $@~72^{\circ}$  F and 85% humidity, edge sealing and scribing, the coatings' 8
- corrosion resistance performance were each measured by QUV cabinet 9
- exposure [cyclic exposure to UV radiation, 4% saline solution, and 10
- varying temperature (25°-80° C)]. 11
- in parts by weight (in order of addition): water, Formulation: 12
- 50.0; neutralizer, as shown; Surfactanta, as shown, biocide1, 4.00; 13
- oxidized polyethylene wax, 4.00; (disperse wax) polyurethane 14
- thixotrope<sup>2</sup>, as shown; defoamer<sup>3</sup>, 2.00; coalescent<sup>a</sup>, as shown, 15
- ultramarine blue pigment, 0.25; rutile titanium dioxide, 125; zinc 16
- aluminate 150; Acrylic latex resin4, 64.0; (disperse particulates 17
- to Hegman 7.5+). Neutralizer, is shown; acrylic latex resin4, 18
- 564.0; defoamer2, 0.98; surfactantb, coalescentb, as shown; water, 19
- 16.00; arid sodium nitrate 2.30. Thixotrope2, (as required) to 20
- adjust system viscosity to 80-85 KU at  $75^{\circ} \text{ F}$ . The control coating 21
- required 3.7 hours to disperse to a Hegman grind gauge reading of 22
- 7+, whereas each of the instant art coatings achieved said fineness 23
- of grind in less than one half hour. The results of this study are 24
- given in Table No. 2 25

1	Table No. 2							
2	Formulation	,	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>
3 4	neutralizer	DMAMP-	80 <sup>5</sup> /12.5	1A/4.00	1C/1.80	1E/2.20	1 <b>J/1.</b> 78	DMAMP- 80 <sup>5</sup> /6.25 1A/ 2.00
5 6	surfactant(a)	Triton	CF106/10.00	5D/1.80	5F/1.75	5C/1.55	5J/1.50	Triton CF 10/5.00
7 8 9	thixotrope <sup>2</sup> coalescent(a)		lene glycol 34.60	5.00 4B/12.00	5.50 4E/10.5	8.70 4K/8.25	5.30 none	9.32 none
10 11 12	surfactant(b)	none	• • • • • • • • • • • • • • • • • • • •	none	5A/1.00	Triton CF10 <sup>6</sup> .4.0	none	5D/1.25
13	coalescent(b)	PmPE <sup>7</sup> /	14.60	2A/16.42 4A/7.45	3A/12.60	3F/18.55	2H/14.70	2H/14.70
14 15	thixotrope <sup>2</sup>	21.40		3.20	1.50	0.70	2.30	1.95
16 17	Formulation		<u>7</u>	8	<u>9</u>	<u>10</u>	<u>11</u>	<u>12</u>
18	neutralizer	DMAMP-	80 <sup>5</sup> /12.5	1A/4.00	1C/1.80	1E/2.20	1J/1.78	DMAMP 80 <sup>5</sup> /6.25
19 20	surfactant (a)	Triton	CF106/10.00	5D/1.80	5F/1.75	5 <b>c/0.5</b> 5	5J/1.50	Triton CF10/5.00
21 22	surfactant (b)	none		none	5A/1.00	Triton CF10 <sup>6</sup> /2.	none 0	5D/1.25
23 24 25 26	thixotrope <sup>2</sup> coalescent (a)	15.00 Diprop /17.3	ylene glycol	5.00 PmPE <sup>7</sup> /22.60		8.70 0 4E/10.5	5.30 4K/8.25	9.32 PmPE <sup>7</sup> /22.3
27 28	coalescent (b)	2A/ 8.	81	2A/ 6.42	PmPE <sup>7</sup>	3F/ 18.5 4A/7.45		0 2H/14.70
29 30 31	thixotrope <sup>2</sup>	18.90		6.20	4.50	3.70	2.30	6.95
32 33	Formulation	VOC g/18	Initial glo	ss 60°	gloss @	60° gloss	<u>s_e</u> 60°	gloss @
34 35			<u>@60°</u>	200h	r. QUV	500 hr. Q	υν. <u>1,00</u>	00 hr. QUV
36 37 38 39 40 41 42 43 44 45 46 47 48	1 2 3 4 5 6 7 8 9 10 11 12	232 9 11 8 10 13 72 57 28 7 8 77	82 91 93 87 88 84 85 80 87 88 92		76 87 90 86 86 80 77 84 86 90 80	31 82 88 82 85 66 46 51 72 84 87	film	destroyed 76 80 61 84 59 12 18 63 78 85 48

1) Nuosept 95,-Huls Corp. 2) Acrysol RM 2020, Rohm and Notes: 50

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Haas 3) Defo 3000; Ultra Inc. 4) HG 56, Rohm and Haas Corp. 5) 80% 51

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- 2-N, N-dimethylamino-2-methyl propanol aq. 6) Union Carbide Corp. 7) 1
- 2 propylene glycol mono phenyl ether. 8) via EPA Method 24GC
- The efficacy of the coalescent systems of the instant art in 3
- producing a more environmentally resistant, acrylic latex based 4
- 5 direct to metal coating, as compared to a conventionally coalesced
- counterpart, Formulation No. 1, is obvious from the preceding data.
- 7 VOC emissions reduction, and improvement in productivity achieved
- are likewise self evident. 8

- This example teaches the superiority of the present invention 10
- versus the prior art with respect to productivity, VOC emissions, 11
- and performance quality in a polyvinyl acetate based interior flat 12
- architectural paint application. 13
- Interior flat paints, were prepared by the sequential 14
- dispersion of the indicated components (pigment dispersion times, 15
- and dispersion efficacy were noted). The resulting coating was 16
- brush applied to unprimed drywall (gypsum sheet) @ 72° F and 80% 17
- humidity, coverage, stain removal, and scrubability performance 18
- were each measured after 7 days of drying  $72+\-2^{\circ}F$  @ 65-80% 19
- 20 humidity.
- Formulation; in parts by weight; (in order of addition) water, 21
- 200.0; neutralizer<sup>1</sup>, as shown; surfactant<sup>a</sup>, as shown, biocides<sup>2</sup>, 22
- 1.00; hydroxy ethyl cellulose, as shown; potassium tris 23
- polyphosphate, as shown; defoamer<sup>3</sup>, 1.00; coalescent<sup>a</sup>, as shown; 24
- 25 ultramarine blue pigment, 0.25; rutile titanium dioxide, 250.0,
- water washed clay<sup>4</sup>, 50.0; calcium carbonate<sup>5</sup>, as shown; diatomite<sup>6</sup>, 26

- 50.0; water, 49.98; PVA latex resin, 352.0; defoamer, 0.98;
- coalescent<sup>b</sup>, as shown; water, 100 .0; and sodium nitrite 2.30; 2
- thixotrope<sup>8</sup>, as shown (required) to adjust system viscosity to 90-
- 100 KU at  $75^{\circ}$  F.
- The results of this evaluation are shown in Table No. 3.

6	·		Tal	ble No.	<u>3</u>				
7	Formulation		<u>1</u>	2	<u>3</u>	4	<u>5</u>	<u>6</u>	
8 9	neutralizer		28% ammonia aq. 6.05	1B/2.00	1H/1.80	1G/2.20	1D/1.7	8 28% ammon 6.05	
10	HEC (OP-4400)		5.50	1.20	1.35	1.25	1.40		
11 12 13	surfactant(a	)	Tamol 731/6.90 Triton N101/3.3	5E/1.80				5 Tamol 731 Triton N101/3.31	./6
14 15 16	coalescent(a	)	Propylene glyco /51.95 Texanol/9.88		4 F/7.00	4H/5.50	0 4E/7.		
17	Calcite		50	150	125	150	140	5	0
18	coalescent(b	<b>)</b>	none	2A/26.4	3C/12.60	3F/18.50			
19 20	thixotrope	,	3.5	3.0	3.1	2.7	2.4		.9
21	Formulation		<u>7</u>	<u>8</u>		<u>9</u>		10	
22 23	neutralizer		28% ammonia aq. 6.05	1B/2.0	0	1H/1.80	1H	1/1.80	
24	HEC (OP-4400)	)	5.50	1.20		1.35	1.	25	
25 26	surfactant(s		Tamol 731/ 6.9 Triton N101/ 3.	Tamol	731/ 3.9	5J/ 1.75	Ta	mol 731/ 6.	
27 28	coalescent (	a)	Propylene glyco 51.95	1 4A/6.0		Propylene o			
29	calcite		50 .	100		125	15		
30	coalescent ()	o)	4E/2.00	2 <b>A/</b> 26	. 4	3C/ 12.60		/ 18.50	
31 32 33	thixotrope"		3.5	3.0		3.1	2	.7	
34	Formulation	VOC q/1	9 Dispersion T	ime Grind	Min.Coal	escence Sc	rubs <sup>10</sup> St	ain Removal	11
35		hr.		Hegman				110110743	_
36						<del></del>		•	
37	1	199	2.4	4		7	410	6	
38	2	8	0.4	5			,740	9	
39	3	3	0.6	6			2,025	10	
40	4	5	0.6	6			,960	9	
41	5	4	0.5	5	-		2,230	10	
42	6	15	2.2	4		31	785	8	
43	7	190	2.4	4		3	850	7	
44	8	9	0.9	5			,140	8	
45	9	188	1.1	5 4	-	5	890	7	
46 47	10	8	2.4	•	_		,310	8	
	Motoc: 1\ A	e chou	m. 21 Museont	05 Unla	Carr	31 Dafa 30	177	+	

Notes: 1) As shown; 2) Nuosept 95, Huls Corp.; 3) Defo 3000; Ultra 48

Inc.. 4) 70C Huber Corp.5) Camel Carb., Cambel Corp.; 6) Diafil 530 49

- viscosity of 65-70 KU, and the coating was applied by curtain
- coating on sanded but unprimed 4' X 8' X 0.25" laminate natural oak
- 3 (on pine) substrate. The coated panels were force dried by passing
- same through a 180° F oven for 20 minutes, then cooled at ambient
- 5 temperature (ca. 80° F) for 24 hours prior to evaluation, for
- abrasion and solvent resistance. to determine coating performance,
- 7 efficacy. The results of this study are given in Table No. 4.

8	Table No. 4								
9	Formulation	1	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	7	<u>8</u>
10 11 12	Part A: neutralizer	28% ammonia a	1A	1H	1H	1н	1B	1B	1B
13 14 15	Part B: form Texanol diethylene g	ulation 8.00 lycol 18.20						4.00 9.10	4.00 9.10
16 17 18	mono butyl e 2A 2C	cner	12.05	6.00 4.40	10.70	6.00 4.20	6.00	6.00	6.00
19 20 21	3B 4G 4J			1.90	2.90	1.05	6.20		0.00
22 23 24	Formulation	VOC g/11 resistance <sup>2</sup>	Abrasion resistance	3 re	Solvent esistance	<sub>2</sub> 4	Stain hr.@8	n 0°F5	Mix pot life
25	1	165	114		lifts	_	poo		7.5
26	2	3	31		ght soft		goo		42
27	3	4	29		ght soft	ening	fai		35
28	4	3	24		change		excel		40
29	5	3	26		change		goo		61
30	6	4	98		. Soften		fai		46
31	7	83	19		ght soft		fai		21 11
32 33	8	85	78	sev	ere soft	ening	poo	Ľ	

- Notes: 1) By EPA Method 24GC; (formulations 2 through 7 produced
- 0 to negative VOC readings by EPA Methods 24, and 24A; 2) Tabor
- 36 CS-10 wheel 1000 cycles; 3) 24 hr methyl ethyl ketone covered
- 37 spot test; 4) 24 hr exposure to lipstick; 5) time to 10% loss of
- 38 abrasion resistance in finished coating.
- This example demonstrates, that the use of the combination of
- 40 components cited as the basis of the instant invention, viz. That

- 1 Whittaker, Clark, and Daniels Inc. 7) Rhoplex 3077, Rohm and Haas
- 2 Corp.; 8) Rhevis CR, Rhevis Corp. 9) via EPA Method 24GC. 10) ASTM
- 3 method; 11) ASTM method.
- The efficacy of the coalescent systems of the instant art in
- 5 producing a more, scrub and stain resistant PVA latex based
- 6 interior flat architectural coating as compared to a conventionally
- 7 coalesced counterpart, Formulation No. 1, is obvious from the
- 8 preceding data. VOC emissions reduction and improvement in both
- 9 productivity and dispersion level achieved are likewise self
- 10 evident, as is a considerable reduction in minimum coalescence
- 11 temperature, without recourse to the use of low boiling, flammable
- 12 solvent(s), normally employed to induce same.

- 14 This example teaches the superiority of the present invention
- 15 versus the prior art with respect to the productivity, VOC
- 16 emissions, and performance quality in a force dried, clear,
- 17 protective, two component acrylic latex cured waterborne epoxy,
- 18 wood cabinet coating.
- 19 Component A. neutralizing agent, as shown-3.5 PBW; sodium
- 20 nitrite 0.15 and defoamer (Patcote 519-Patco Coatings Inc.) were
- 21 admixed with 95.85 PBW of (Acrylic latex-Maincote AE 58), and said
- 22 emulsion was subsequently mixed with 50 PBW of Component B,
- 23 formulated by blending various additives, as shown, into 12.5 PBW
- 24 of Genepoxy 370-H55- Daubert Chemical Co., and diluting as
- 25 necessary with water to produce a total part B weight of 25 parts.
- 26 Thixatrope<sup>1</sup>, was added, as required, to provide an initial mix

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- nonvolatile reactive amines, in combination with hydroxyl bearing 1
- unsaturated esters and/or ethers and/or ether-esters, and/or non-2
- hydroxyl bearing unsaturated esters and/or ethers and/or ether-3
- esters and incompletely etherified and/or esterified oligomeric
- glycols and/or oligools as (partial or full) replacements for 5
- conventionally employed volatile amines and/or ammonia as
- neutralizers, and organic solvents as coalescents, respectively may 7
- be employed to substantially enhance the processability (pot life), 8
- mechanical and chemical resistance properties ( abrasion and stain 9
- resistance respectfully) as well as attain VOC reduction in wood 10
- coatings. A further benefit of the instant invention as applied to 11
- wood coatings is that unlike conventionally coalesced waterborne 12
- coatings, e.g. formulation D-1 latex formulations based upon the 13
- teachings of this invention, e.g. formulations D-2 through D-7, do 14
- not cause significant grain rise, thereby virtually eliminating the 15
- necessity for intercoat sanding. These data also demonstrate that 16
- subsets of the preferred combination of components herein disclosed 17
- (e.g. formulations D-3,D-5, and D-6) may provide considerable 18
- benefits relative to their conventional counterparts, such as 19
- Formulation No. 1; however, omission of one or more of the 20
- components of the combination herein disclosed leads to inferior 21
- results as compared to the inclusion of the full compliment. 22

- This example teaches the superiority of the present invention 24
- versus the prior art with respect to the productivity, VOC 25
- emissions, and performance quality in waterborne flexographic inks. 26

A latex flexographic ink formulation, was prepared by the

- 2 sequential dispersion of the indicated components (pigment
- 3 dispersion times and grind quality achievement was noted). The
- 4 resulting ink was applied via a #6 wire wound rod to bond paper,
- 5 and permitted to dry. Dry time (to touch) was measured under
- 6 conditions, of 72° F and 85% humidity. After 6 hours of drying 0,
- 7 72° F and 85% humidity, heat seal resistance performance (face to
- 8 face) was measured at 25 psig. and 2 seconds contact time) the
- 9 results of this study are given in Table No. 5.

1

- 10 Formulation: in parts by weight; (in order of addition) E-2350
- resin 267; neutralizer, as shown; surfactant, as shown; Defo 1020
- defoamer 4.00 Ultra Inc.; coalescent<sup>a</sup>, as shown; calcium lithol
- 13 pigment 50% presscake, 400-Sun Chemical Corp.; Michemlube wax 5-
- 14 Michelman Inc., water, as required in order to produce a viscosity
- of 27 seconds using a #2 Zahn cup. Relative dry ink color
- 16 intensities were measured by integrating thin film reflectance
- 17 spectra at 300-600 um wavelengths using a spectrophotometer after
- 18 48 hours of drying at the above conditions

19	9 <u>Table No. 5</u>					
20	Formulation	<u>1</u>	2	<u>3</u>		
21 22	neutralizer	28% ammonia aq, 2.00	1D/2.00	IH/1.40		
23	surfactant	Tamol 850/4.95	5A/1.80	5E/1.75		
24	coalescent	isopropanol/48	2A/10.5	2E/20.0		
25		Texanol/24	3c/15.5			
26			4H/5.5			
27						
28	Formulation	4	<u>5</u>	<u>6</u>		
29	neutralizer	1F/2.00	1D/1.46	28% ammonia ag/2.00		
30	surfactant	5K/1.55	5H/1.50	Tamol 850/ 4.95		
31	coalescent	3C/25.0	3C/18.0	3C/18.0		
32			4H/7.0	4H/7.0		

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1 2 3 4	<u>Formulation</u>	VOC g/1 <sup>5</sup>	Dry to touch time hr.	6 hr.Heat seal resistance °C	Relative color intensity.
5	1	144	3.4	86	1.00
6	2	4	0.7	154	1.42
7	3	3	0.6	172	1.27
8	4	5	0.7	104	1.08
9	5	4	0.6	167	1.39
10	6	7	0.6	122	1.03
11					

The efficacy of the coalescent systems of the instant 12

- art in producing a faster drying, more strongly colored, and 13
- lower VOC acrylic latex based printing ink coating as compared to 14
- a conventionally coalesced counterpart are obvious from the 15
- preceding data, as are indications that incomplete application of 16
- the teachings of this disclosure may lead to inferior results. 17
- 18 Note the deficiencies in the heat seal performance of formulation
- E-4 as compared to E-2, E-3, and E-5, the benefits of 19
- hyperdispersant use as indicated E-2 to E-5 vs. E-6. 20

- This example teaches the utility of the instant 22
- invention in the production of superior waterborne anti-scuff 23
- overprint coatings for graphic arts applications. 24
- A 30% solution of water reducible styrene-acrylic copolymer resin 25
- in water was prepared by admixture of the indicated neutralizing 26
- agent-as shown, water, and Air Product Corp's Flexbond 28 resin. 27
- Thirty parts by weight (PBW) of the preceding solution, were 28
- admixed with 50 PBW of styrene- acrylic latex resin (Flexbond 29
- 285, Air Products Corp.), coalescent, and surfactant(s) -as 30
- shown, poly ethylene wax, 2 PBW, and sufficient water to dilute 31
- the system to 100 PBW. 32

- The resulting coating was applied, in line on a high speed six 1
- color lithographic cold web press, to a solid four color print 2
- pattern, followed by in line infrared drying, and folding.
- Measurements of VOC (by EPA Method 24), offset, and blocking
- limited maximum allowable impression rates (impressions/ minute 5
- [IPM]), were made. The results are given in Table 6. 6

7			Table 6	
8	<u>Formulation</u>	<u>1</u>	<u>2</u>	<u>3</u>
9 10 11 12	neutralizer coalescent (s) surfactant	28% ammonia/ 6.00 isopropanol/ 12 Tamol 850/ 2.05	AMP 95 <sup>1</sup> / 8.00 isopropanol/ 12 Tamol 850/ 2.05	AMP 95/ 8.00 2G/ 4.50 5K/ 0.75
13	<u>Formulation</u>	<u>4</u>	<u>5</u>	<u>6</u>
14 15 16	neutralizer coalescent (s)	1G/ 6.00 2G/ 4.50	1G/ 6.00 2G/ 4.50	1G/ 6.00 3C/ 3.65 4C/ 0.85
17	surfactant	Tamol 850/ 2.05	5K/ 0.75	2K/ 0.75
18 19 20	Performance_	<u>1</u>	<u>2</u>	<u>3</u>
21 22 23 24	VOC -g/l. max IPM (offset) max IPM (block)	131 8,240 10,150	146 9,235 9,950	27 12,720 14,610
25	Performance	<u>4</u>	<u>5</u>	<u>6</u>
26 27 28 29 30	VOC -g/l. max IPM (offset) max IPM (block)	3 16,670 17,130	4 18,000 <sup>2</sup> 18,000	4 18,000 18,000

31

- Claims:
- 2 What we claim is:
- 3 1) Compositions of matter comprising:
- 4 essentially nonvolatile reactive amines;
- 5 hydroxyl bearing, unsaturated esters and/or ethers and/or
- 6 ether-esters; and/or
- 7 combinations of non-hydroxyl bearing, unsaturated esters
- and/or ethers and/or ether-esters, and/or saturated hydroxyl
- bearing etherified and/or esterified oligomeric glycols
- and/or oligools.
- 11 2) Compositions of matter comprising:
- volatile amines and/or ammonia neutralizers;
- hydroxyl bearing, unsaturated esters and/or ethers and/or
- ether-esters; and/or
- combinations of non-hydroxyl bearing, unsaturated esters
- and/or ethers and/or ether-esters, and/or saturated hydroxyl
- bearing etherified and/or esterified oligomeric glycols
- and/or oligools.
- 19 3) Compositions of matter comprising:
- 20 essentially nonvolatile reactive amines; and
- organic solvent coalescents.
- 22 4) Compositions of matter as defined in Claims 1, 2 and 3 having
- as an additional component latex resin.
- 24 5) Compositions of matter as defined in Claims 1, 2, 3 and 4
- 25 having hypersurfactants as an additional component.
- 26 6) Compositions of matter as defined in Claim 5 in which the

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hypersurfactants are derived from titanium or zirconium based organometalics.

7) Compositions of matter as in Claim 4 in which the latex resin is derived from poly vinyl acetate and/or acrylic and/or a copolymer thereof.